15-23-02

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Docket No. CRD-991

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Gregg Sutton et al.

Serial No.: 10/083,868

Art Unit:

Filed

: February 26, 2002

Examiner:

For

: VASCULAR FILTER SYSTEM FOR CARDIOPULMONARY BYPASS

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Carl J. Evens

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(Name of applicant, assignee, or Registered Representative)

TECHNOLOGY CENTER R3700

UE_

May 22, 2002

(Date of Signature)

Commissioner for Patents Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

Applicant(s) reserve(s) the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this

information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

Disclosure Statement is being filed either within three months of the filing date of the above-identified national application (other than a continued prosecution application under §1.53(d)), within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of a request for continued examination under §1.114, no additional fee is required.

Disclosure Statement is being filed in connection with 🗌 the
first or second After Final Submission, therefore:
\square Statement in Accordance with §1.97(e)
(attached); or
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the fee of $$180.00$ as set forth in $$1.17(p)$.
\square In accordance with §1.97(c), this Information
Disclosure Statement is being filed after the period set forth

In accordance with §1.129(a), this Information

Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or an action that otherwise closes prosecution and that it is accompanied by one of:

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Information Disclosure Statement. Included are: Statement in
Accordance with §1.97(e) as set forth below and the fee of
\$180.00 as set forth in §1.17(p).
Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.
Copies of references listed on the attached Form PTO- 1449 are enclosed herewith EXCEPT THAT:
In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.
If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.
☐ There are no listed references which are not in the
English language.

The relevance of those listed references which are not in the English language is as follows: Attached are copies of search report(s) from corresponding patent application(s), which are listed on the attached Submission Under MPEP 609 D. Attached are the following non-published pending patent applications which may be deemed relevant, which are listed on the attached Submission Under MPEP 609 D. · Please charge any deficiency or credit any overpayment to Deposit Account No. 10-0750/CRD-991/CJE. This form is submitted in triplicate. Respectfully submitted, Carl J. Evens Reg. No. 33,874 Attorney for Applicants New Brunswick, NJ 08933-7003

Johnson & Johnson One Johnson & Johnson Plaza (732) 524-2518 DATED: May 22, 2002



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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary) Sheet 1 of 9

10/083,868
February 26, 2002
Sutton
CRD-0991

U.S. PAT	ENT	DOCU	MENTS

		U.S. Patent Docun	nent			
Examiner Initials	Cite No. ¹	Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		3,435,824		Gamponia	4/1/69	
		3,889,685		Miller Jr., et al.	6/17/75	
		3,952,747		Kimmell, Jr.	4/27/76	
		4,230,119		Blum	10/28/80	
		4,349,029		Mott	9/14/82	
		4,425,908		Simon	1/17/84	
		4,494,531		Gianturco	1/22/85	
		4,545,390		Leary	10/8/85	
		4,619,246		Molgaard-Nielsen et al.	10/28/86	0 7
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		4,688,553		Metals	8/25/87	0 20 1
		4,706,671		Weinrib	11/17/87	2 6

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		Foreign	Patent Docume	nt	Name of Patentee or	Date of Publication of Cited Document	Pages, Columns Lines, where relevant	
Examiner Initials	Cite No.1	Office ³	Number⁴ Kii	ndCode⁵	Applicant of Cited Document	mm-dd-yyyy	passages or relevant figures appear	T ₆
		EP	0 737450	A1	Cordis Europa N.V.	10/16/1996	:	
		EP	0 791 340	A1	Cordis Corporation	8/27/1997		
		FR	2 606 642	A1	Michel Camus et al	5/20/1998		
		FR	2 652 267	A1	Prothia Sarl	3/29/1991		
		PCT	WO 96/01591	A1	Microvena Corporation	1/25/1996		
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		UK	2,020,557	A1	Willy Rusch GmbH & Co.	11/21/1978		
Examiner					Date			

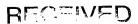
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1 Unique citation designation number, 2 See attached Kinds of U.S. Patent Documents, 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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Sheet 2 of 9

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Application Number	10/083,868
Filing Date	February 26,2002
First Named Inventor	Sutton
Group Art Unit	
Examiner Name	
Attorney Docket Number	CRD-0991

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		U.S. Patent Docum	ent			
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		4,723,549		Wholey et al.	2/9/88	
		4,727,873		Mobin-Uddin	3/1/88	
		4,781,177		Lebigot	11/1/88	1
		4,790,812		Hawkins, Jr., et al.	12/13/88	٠ ١٠ - ١٠ - ١٠ - ١٠ - ١٠ - ١٠ - ١٠ -
		4,790,813		Kensey	12/13/88	カー
		4,793,348		Palmaz	12/27/88	21
		4,794,928		Kletschka	1/3/89	00 10 10
		4,832,055		Palestrant	5/23/89	7 0 m
		4,842,579		Shiber	6/27/89	丰夏口
		4,873,978		Ginsburg	10/17/89	· 75
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		4,921,484		Hillstead	5/1/90	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 3 of 9

Application Number	10/083,868
Filing Date	February 26, 2002
First Named Inventor	Sutton
Group Art Unit	
Examiner Name	
Attorney Docket Number	CRD-0991

				U.S. PATENT DOCUMENTS		
Examiner Initials	Cite No.1	U.S. Patent Documer	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		4,957,501		Lahille et al.	9/18/90	
		4,969,891		Gewertz	11/13/90	
		4,990,156		Lefebvre	2/5/91	
		5,011,488		Ginsburg	4/30/91	
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		5,059,205		El-Nounou et al.	10/22/91	
		5,092,839		Kipperman	3/3/92	بسر
		5,095,915		Engelson	3/17/92∜	10 F. P.F.
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		5,133,733		Rasmussen et al.	7/28/92	CEN NY 28 TOD F
		5,152,777		Goldberg et al.	10/6/92	***
		5,190,540		Lee	3/2/93	DOUZ PERIL

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		U.S. Patent Docum	nent			
Examiner Initials	Cite	Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		5,201,757		Heyn et al.	4/13/93	
		5,242,462		El-Nounou et al.	9/7/93	
		5,279,546		Mische et al.	1/18/94	
		5,324,304		Rasmussen	6/28/94	
		5,329,942		Gunther et al.	7/19/94	
		5,413,586		Dibie et al.	5/9/95	
		5,421,832	i	Lefebvre	6/6/95 🙀	6 Z
_		5,496,277		Termin et al.	3/5/96 \	37 110
		5,531,788		Dibie et al.	7/2/96	8 2 E
		5,549,626		Miller et al.	8/27/96	节而

Sheiban et al.

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FOREIGN PATENT DOCUMENTS Foreign Patent Document Date of Publication Pages, Columns, Lines, of Cited Document where relevant Name of Patentee or Cite passages or relevant Examiner mm-dd-yyyy Applicant of Cited Document Initials figures appear Number⁴ KindCode5 Considered

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Application Number	10/083,868
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Examiner Name	
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				U.S. PATENT DOCUMENTS		
Examiner Initials	Cite No.1		nd Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		5,662,671		Barbut et al.	9/2/97	
		5,681,347		Cathcart et al.	10/28/97	
		5,695,519		Summers et al.	12/9/97	
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		5,814,064		Daniel et al.	9/29/98	70 7
		5,827,324		Cassell et al.	10/27/98	15 P
2.30		5,836,969		Kim et al.	11/17/98	10

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	1	Foreign	Patent Doc			Date of Publication of Cited Document	Pages, Columns, Lines, where relevant		
Examiner Initials	Cite No.1	Office ³	Number⁴	KindCode ⁵	Name of Patentee or Applicant of Cited Document	mm-dd-yyyy	passåges,or relevant figures appear	T ⁶	
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Sheet 6 of 9

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Application Number	10/083,868				
Filing Date	February 26, 2002				
First Named Inventor	Sutton				
Group Art Unit					
Examiner Name					
Attorney Docket Number	CRD-0991				

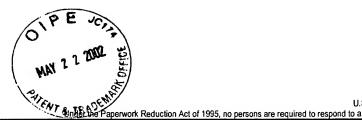
		U.S. Patent Docur	nent			
Examiner Initials	Cite No.1	Number	Kind Code ² (if known)	Name of Patentee or Applicant Of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		5,848,964		Samuels	12/15/98	
		5,876,367		Kaganov et al.	3/2/99	
		5,902,263		Patterson et al.	5/11/99	
		5,910,154		Tsugita et al.	6/8/99	
•		6,001,118		Daniel et al.	12/14/99	
		6,013,093		Nott et al.	1/11/00	
4 6 7		6,051,014	N/TE	Jang	4/18/00	
		6,053,932		Daniel et al.	4/25/00	4 3
		6,059,814		Ladd	5/9/00	(a) (f)
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		6,080,178		Meglin	6/27/00	- F B

FOREIGN PATENT DOCUMENTS Foreign Patent Document Date of Publication Pages, Columns, Lines, of Cited Document where relevant Name of Patentee or passages or relevant Cite Examiner mm-dd-yyyy Applicant of Cited Document figures appear Initials Number⁴ KindCode⁵ Examiner Date Considered

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Application Number	10/083,868				
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U.S. PATENT DOCUMENTS

		U.S. Patent Document			Date of Publication	Pages, Columns, Lines,
Examiner Initials	Cite No.1		d Code ² known)	Name of Patentee or Applicant of Cited Document	of Cited Document	where relevant passages or relevant figures appear
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		6,152,946		Broome et al.	11/28/00	
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		6,179,861		Khosravi et al.	1/30/01	3 3
		6,187,015		Brenneman	2/13/01 🗽	3.多了
		6,203,561		Ramee et al.	3/20/01	8 2 7
-		6,214,025		Thistle et al.	4/10/01	7. (
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		Foreign	Patent Docum	ent	Name of Patentee or	Date of Publication of Cited Document	Pages, Columns, Lines, where relevant	-
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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Application Number

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Substitute for form 1449A/PTO

Filing Date

10/083,868 February 26, 2002

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary) Sheet 8 of 9



First Named Inventor	Sutton
Group Art Unit	
Examiner Name	
Attorney Docket Number	CRD-0991

U.S. PATENT DOCUMENTS

		U.S. Patent Docum	ent			
Examiner Initials	Cite No. ¹	Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		6,258,115		Dubrul	7/10/01	
		6,267,776		O'Connell	7/31/01	
		6,267,777		Bosma et al.	7/31/01	
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		6,290,710		Cryer et al.	9/18/01	
		6,336,934		Gilson et al.	1/8/02	
		6,346,116		Brooks et al.	2/12/02	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.1	Foreign Patent Document			Name of Patentee or	Date of Publication of Cited Document	Pages, Columns, Lines, where relevant	
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		J- 5-42 W						
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary) Sheet 9 of 9

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Application Number	10/083,868
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Group Art Unit	
Examiner Name	
Attorney Docket Number	CRD-0991

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner's Initials*	Cite No.1	Include name of the author (in CAPITOL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T
		A. Cragg et al., A NEW PERCUTANEOUS VENA CAVA FILTER, AJR, 141, September 1983, pp. 601-604	
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		G.Lund et al., LONG-TERM PATENCY OF THE DUCTUS ARTERIOSUS AFTER BALLOON DILATATION: AN EXPERIMENTAL STUDY, AJR, September 1983, p. 772	
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		Topol, Eric J., et al. Recognition of the Importance of Embolization in Atherosclerotic Vascular Disease" American Heart Journal 2000	
	- 1		
Examiner Signature		Date Considered	

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